JC06 Rec'd PCT/PTO 20 OCT 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)	ATTORNEY'S DOCKET NUMBER 12810-00152-US U.S. APPLICATION NO. (Etynomy, see 37 CFR 1.5)				
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	1111//555976				
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/004040 16 April 2004	PRIORITY DATE CLAIMED 22 April 2003				
TITLE OF INVENTION: METHOD FOR THE ISOMÉRIZATION OF CIS TRANS-3-PENTENENITRILE					
APPLICANT(S) FOR DO/EO/US: Michael Bartsch et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO	/US) the following items and other information:				
1. x This is a FIRST submission of items concerning a submission under 35 U	S.C. 371.				
2. This is a SECOND or SUBSEQUENT submission of items concerning a s	ubmission under 35 U.S.C. 371.				
3. x This is an express request to begin national examination procedures (35 tinclude items (5), (6), (9) and (21) indicated below.	J.S.C. 371(f)). The submission must				
4. x The US has been elected (Article 31).					
5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))					
a. x is attached hereto (required only if not communicated by the Internation	onal Bureau).				
b. has been communicated by the International Bureau.					
c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. x An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
a. x is attached hereto.					
b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the International Application under PCT Artic	cle 19 (35 U.S.C. 371(c)(3))				
a. are attached hereto (required only if not communicated by the Interna	tional Bureau).				
b. have been communicated by the International Bureau.					
c. have not been made; however, the time limit for making such amende	nents has NOT expired.				
d. have not been made and will not be made.					
8. An English language translation of the amendments to the claims under P	CT Article 19 (35 U.S.C. 371(c)(3)).				
9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
An English language translation of the annexes of the International Prelim Article 36 (35 U.S.C. 371(c)(5)).	inary Examination Report under PCT				
Items 11 to 20 below concern document(s) or information included:					
11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. An assignment document for recording. A separate cover sheet in compliance	ance with 37 CFR 3.28 and 3.31 is included.				
13. x A preliminary amendment.	-				
14. x An Application Data Sheet under 37 CFR 1.76.					
15. A substitute specification.					
16. x A power of attorney and/or change of address letter.					
17. A computer-readable form of the sequence listing in accordance with Po	CT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821 – 1.825.				
18. A second copy of the published International Application under 35 U.S.0	C. 154(d)(4).				
19. A second copy of the English language translation of the international a	pplication under 35 U.S.C. 154(d)(4).				

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) PCT/EP2004/004040			ATTORNEY'S DOCKET NUMBER 12810-00152-US						
20. X Other items or information: Form PCT/ISA/220, Forms PCT/IPEA/416 and 409, Amendments to the claims and the English translation of the claims, Postcard, Certificate of Express Mail									
			Ligio	r dansiaborr or the de	21111	s, i ostodia, ociuna	ite of Express in	ш	
The following fees have been submitted					CALCULATIONS PTO USEONL				
21. x Basic national fee (37 CFR 1.492(a)) \$300					\$ 300.0	0			
22. x Examination fee (37 CFR 1.492(c))									
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.0	٥			
All other situations \$200									
23. x Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by									
IPEA/US	indicates a	II claims	satisfy provisions	of PCT Article 33(1)-(4) international application		\$0		Ì	
Internation	nal Searchi	ing Autho	ority		••••	\$100	\$ 400.0) *	
previously	communic	cated to	the US by the IB	than the US and provide		\$400			
All other situations\$500 TOTAL OF 21, 22 and 23 =				\$500	\$ 900.0	0			
Additional				ed in paper over 100 she	ets	excludina			
sequer لــــا	nce listing i	n complia		1.821(c) or (e) or compu					
				s of paper or fraction the	ereof	•			
Total Sheets	Extra She	eets Number of each additional 50 or fraction RATE thereof (round up to a whole number)							
7 -100=	7 -100 = /50 = x \$250.00		x \$250.00	\$					
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$		-			
CLAIMS	3		BER FILED	NUMBER EXTRA		RATE			
Total clair			0 - 20 =		×		0.00		
Independent			1 - 3 =		* +		0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + TOTAL OF ABOVE CALCULATIONS =				VE CALCULATIONS =	\$ 900.00				
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						•			
					SUBTOTAL =	\$ 900.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$					
TOTAL NATIONAL FEE					TAL NATIONAL FEE =	\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$				
TOTAL FEES ENCLOSED =					\$ 900.00)		
			Amount to be refunded:	\$	-				
				Amount to be charged	\$				

10/553916

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a. A check in the amount of \$ to cover the above fees is enclosed.						
b. X Please charge my Deposit Account No. 03-2775 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2775 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status						
SEND ALL CORRESPONDENCE TO:						
Ashley I. Pezzner NAME						
CUSTOMER NUMBER: 23416						
35,646 REGISTRATION NUMBER						

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 12810-00152-US

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Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV686921455US in an envelope addressed to:

> MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on October 20,

J. Lynn Ferry Typed or printed name of person signing Certificate (302) 658-9141 Registration Number, if applicable Telephone Number

Note:

Each paper must have its own certificate of mailing, or this certificate must identify

each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

Fee Transmittal Application Data Sheet

Copy of the International application with search report attached

Form PCT/ISA/220

Forms PCT/IPEA/416 and 409

English language translation of the International application **Executed Combined Declaration and Power of Attorney**

Preliminary Amendment

Information Disclosure Statement, SB08, with references

Postcard

Authorization to charge \$900.00 to deposit account 03-2775

423404

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. No Vet Assigned Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** Concurrently Herewith FEE TRANSMITTAL Filing Date Michael Bartsch First Named Inventor For FY 2005 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit 12810-00152-US TOTAL AMOUNT OF PAYMENT 900.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Connolly Bove Lodge & Hutz LLP X Deposit Account Deposit Account Number: 03-2775 Deposit Account Name: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee x | Charge fee(s) indicated below Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES** Small Entity **Small Entity Small Entity** Fee (\$) Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 900.00 Design 200 100 100 50 130 65 150 160 80 Plant 200 100 300 250 300 Reissue 300 150 500 600 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Multiple Dependent Claims Total Claims** Extra Claims Fee Paid (\$) Fee Paid (\$) Fee (\$) Fee Paid (\$) Indep. Claims Extra Claims Fee (\$) 1 - 3 = 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) Number of each additional 50 or fraction thereof Fee (\$) Total Sheets Extra Sheets 7 - 100 = (round up to a whole number) x Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00 1633 National Stage Examination Fee - all other ... 200.00 1642 National Stage Search Fee - search report ... 400.00 SUBMITTED BY Registration No. Signature 35,646 Telephone (302) 658-9141 (Attomey/Agent) Ashley I. Pezzner Name (Print/Type) Date